Money Options Pty Ltd
ABN 29 089 499 776
AFSL No: 244575
544 Goodwood Road, Daw Park SA 5041
Phone: 08 8277 2233
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CONFIDENTIAL

Client Data Collection Form (Fact Find)

Before I make any recommendations, I need to ask you about and record your financial situation and goals. This questionnaire is designed to record this information.

My financial advice recommendations are determined by:

- Your answers recorded in this Fact Find and Needs Analysis document; and
- Any other information we receive from you either verbally or written.

Please answer the questions to the best of your knowledge. This will enable us to better understand your circumstances, needs and objectives. We want to ensure that the recommendations we make are appropriate for you.
If you are unable to provide any information, please let us know. If you require any assistance in completing this form, please do not hesitate to contact us on:

Adviser: David Harrison

544 Goodwood Road, Daw Park SA 5041
PO BOX 204 Daw Park SA 5041
Ph: 08 8277 – 2233
Email: david@moneyoptions.com.au

Client Name	
Date	

Privacy Statement

Your financial adviser and their associated Australian Financial Services Licensee (AFS Licensee) are committed to maintaining the privacy of your personal information at all times. Your financial adviser embraces the National Privacy Principles as detailed in the Privacy Act 1988 (effective from 21 December 2001).

Your financial adviser collects and retains this personal information for the purpose of providing, implementing and reviewing your financial product and strategy advice and in so doing your financial adviser and their associated AFS Licensee ensure that they meet their legal obligations as an Australian Financial Services Licensee under the Corporations Act 2001. Your personal information may be analysed so products and services which are considered to be of interest to you can be marketed to you unless you tell us not to.

Wherever practicable you will be requested to provide the required information. However, your financial adviser may use previously collected information or information from other reliable sources such as your superannuation fund, your accountant or the Australian Taxation Office at which time reasonable steps will be taken to ensure it is accurate, complete and current.

Your financial adviser will only disclose your personal information to other parties if you have given your consent, it is implied, or it is required by law to do so. Examples of these parties include professional advisers (e.g. auditors and consultants), Government agencies (e.g. Centrelink, the Department of Veterans' Affairs, the Australian Taxation Office and the Australian Securities and Investments Commission), the Financial Industry Complaints Service or your superannuation fund.

The consequences of not providing this information or not ensuring that the information is up to date, are that your financial adviser may either not be able to provide you with financial product advice, or the advice may not be relevant to your personal circumstances.

At any time you, or your representative, can make an appointment to gain access to any personal information held about you. Please contact your financial adviser or visit their website if you would like further information on their privacy policy.

, do you agree that access to information is granted to ? ☐ Yes ☐ No

, do you agree that access to information is granted to ? ☐ Yes ☐ No

Tax File Number Declaration

We agree to the collection and retention of our Tax File Number/s ('TFN/s') by our financial adviser and their associated Australian Financial Services Licensee (AFS Licensee).

We understand that it will be used in connection with providing financial product and strategy advice and only in accordance with the legislative requirements (e.g. relevant taxation and superannuation laws). We understand that our financial planner and their associated AFS Licensee may provide our TFN/s to relevant financial institutions (e.g. life insurance companies and fund managers) and Government bodies (e.g. Australian Taxation Office and Centrelink) as required and only in accordance with the law, unless we specifically request otherwise in writing.

We understand that we do not have to supply our TFN/s and that it is not an offence not to do so. We further understand that if we choose not to supply our TFN/s, we may be taxed at a higher marginal rate than if we had supplied our TFN/s.

We understand that our TFN/s will be stored and treated as confidential and that our financial planner and their associated AFS Licensee will take all reasonable steps to prevent the loss, disclosure and/or misuse of our TFN/s by third parties.

Personal Information

Title		
Given Name(s)		
Surname		
Maiden Name		
Gender		
Date of Birth (dd/mm/yyyy)		
Town of Birth		
Country of Birth		
Marital Status		
Smoker		
State of Health		
Private Health Insurance		
Resident Status		
Tax Resident Status		
Permission to Store TFN		
Tax File Number (TFN)		
Date of Death (if deceased)		
Centrelink Reference		
Centrelink Payment Eligibility		
Would you like to receive email updates from Money Options for research info or invitations to information sessions etc?		
Would you like to receive the Money Options newsletter (generally twice a year).		

Children

Name	Date Of Birth	Relationship	Dependant	Until Age

Contact Details

Residential Address **(please indicate your preferred address)**

No. & Street or PO Box		
Suburb/Town		
State		
Postcode		

Postal Address (if different)

No. & Street or PO Box		
Suburb/Town		
State		
Postcode		

Work Address

No. & Street or PO Box		
Suburb/Town		
State		
Postcode		

Email Contact **(please indicate your preferred email address)**

Home Email		
Work Email		

Phone Contact **(please indicate your preferred phone number)**

Home Phone		
Home Fax		
Mobile		
Work Phone		
Work Fax		

Employment

Occupation		
Pre-tax Income from Employment		
Superannuation Salary Sacrifice		
Salary Packaging		
Employer		
Industry		
Employment Status (part time, full time, casual)		
Start Date (approx)		
Years of Service (if date known)		
End Date (if applicable)		
Employment to Change		
Reason if Employment Ceased		

Pension Details and Other Income

Type	Owner	Start Date	End Date	Income $	Income Period

Superannuation

Account Type	Owner	Account Name	Value

Allocated Pension

Account Type	Owner	Account Name	Value

Assets & Liabilities

Business

Business Type	Owner	Business Name	Value

ASSETS & LIBILITIES STATEMENT								
Lifestyle Assets								
Principal Residence -								
Household Contents								
Motor Vehicle- 1								
Motor Vehicle- 2								
Total Lifestyle Assets								
Financial Assets - Ordinary								
Cash in Bank								
Direct Shares								
Investment								
Total Ordinary Financial Assets								
Financial Assets - Superannuation/Pension								
Super / Pension								
Super / Pension								
Super / Pension								
Super / Pension								
Total Super/Pension								
Total Assets								
Less Liabilities								
Home Loan								
Margin Loan								
Personal Loan								
Credit Card								
Credit Card								
Total Libilities								
NET WORTH								

Estate Planning

Do you Have a Current Will		
Will Last Reviewed (dd/mm/yyyy)		
Do You Have a Power of Attorney		
Name of Your Attorney		
Do You have a Testamentary Trust		

Estate Planning Objectives (consider non-dependent children and any children from previous relationships)

Personal Insurance

Policy	Insured Person	Renewal Date	Annual Cost	Base Premium	Type	Benefit

Planned Retirement Funding

At what age do you plan to retire?		
How many years is that from now?		
Based on today's dollars, how much combined retirement income would you need each week after tax?	$0	$0
Do you need an additional lump sum for a special need at retirement?	$0	$0
If so, how much do you need – based on today's value?	$	$

Goals - Retirement and Financial Goals

Financial Goal	Owner	When	How Much $

Goals – Personal (Bucket List)

	Time Frame	Notes	Time Frame	Notes
e.g. Start & Finish Uni Degree	3 – 4 Years			

Others to consider….

- Learn to surf
- Take an African Safari
- Stand on the North or South Pole
- See the Northern Lights
- Dive with sharks
- Walk on the Great Wall Of China
- See the Golden Gate Bridge
- Go on a supersonic jet ride
- Drive along Route 66
- Visit the pyramids
- Climb an active volcano
- Run a marathon
- Write your will
- Buy a boat & learn to sail
- Go to Ireland for St Patrick's Day
- Take a year off
- Visit Tokyo
- Swim with dolphins
- Learn to kite surf
- Visit the Taj Mahal
- Lean another language
- Write a book
- Learn to fly
- New Years in New York
- Climb the Statue of Liberty
- Visit Niagara Falls
- Create your Family Tree
- Start a business you are passionate about
- Skydive
- Visit Disney World
- Go to Fashion Week in New York/Paris
- Live in a foreign country
- Learn a magic trick
- Do a stand-up comedy gig
- Make a short film
- Cliff jump
- Participate in Carnival in Brazil
- Create your own website
- Learn to play piano/instrument
- Climb one of the world's 7 summits
- Design & program a video game
- Attend the Olympics
- Shave your head
- Go Bungee Jumping
- Ride in a helicopter
- Go to top of the Empire State Building
- Visit the Galapagos Archipelago
- Dye your hair a crazy colour for 1 year
- Learn to juggle 3 balls
- Follow the Tour de France
- Run a 10k.
- Run a half marathon.
- Run a marathon.
- Ride in a hot air balloon.
- Go scuba diving.
- Go snorkelling in a shipwreck.
- Go fire walking.
- Create enough passive income so that you don't have to work another day in your life.
- Go white water rafting.
- Fly in a blimp.
- Go rock climbing.
- Ride the 10 largest roller coasters in the world.
- Go on a cruise.
- Experience weightlessness.
- Go on the world's top ten train rides.
- Jump from a cliff into deep water.
- Oktoberfest, Munich, Germany
- Mardi Gras, New Orleans
- Learn to play chess.
- Learn to play poker (or bridge).
- Take up photography.
- Learn to make pottery.
- Learn to sculpt.
- Take up gourmet cooking.
- Restore a classic car.
- Restore antiques.
- Become a Wine Connoisseur.
- Become a Cheese Connoisseur.
- Get a PhD
- Get into Med School
- Shop at Harrods
- Charter a yacht.
- Own a beach house.
- Own a private jet.
- Spend a week at a 5-star spa
- Shop in Rodeo Drive
- Go to an auction at Christie's or Sotheby's
- Have "High Tea" at the Plaza Hotel in New York, or perhaps at Fortnum & Mason in London
- Gamble at Monte Carlo, Monaco
- Drive a Lamborghini or a Ferrari
- Own a bar.
- Invent a board game.
- Make a documentary film.
- Design furniture.
- Be a clothes designer.
- Create a web site.
- Start a blog
- Create a YouTube video.
- Be highly influential on Social Media.
- Make a five-figure income from your online ventures.
- Become financially literate.
- Create a financial strategy.
- Create a trust fund for your child.
- Open a Swiss bank account.
- Run with the bulls.
- Watch turtles hatch and run for the ocean
- Have an aquarium.
- Swim with dolphins
- Go whale-watching
- See penguins in their natural habitat.
- Go on safari.
- Become a vegetarian
- Take up yoga
- Read 50 shades trilogy

Current Expenses

Living Expenses	Amount $	Per (week, month)	Total Per Year
Food			
Rent			
Entertainment			
Newspapers & magazines			
Child care			
Sport and recreation			
Education expenses			
Clothing			
Dry cleaning			
Alcohol			
Cigarettes			
Cosmetics & Hair			
Toys/books/hobbies			
Furnishings			
Utility Expenses			
Water Rates			
Electricity			
Telephone			
Council Rates			
Mobile Phone			
General Expenses			
Work and union fees			
Holidays			
Presents			
Donations/gifts			
Schooling/education			
Other			
Motor Vehicle Expenses			
Registration			
Insurance			
Fuel & parking			
Maintenance			
Unexpected expenses			
Other			
Insurance & Protection	**Amount $**	**Per (week, month)**	**Total Per Year**
House & contents			
Health			
Disability/income protection			
Life/trauma cover			
Superannuation			
Other			
Unexpected Expenses			
House repairs & maintenance			
Medical & dental			
Other			
Other			
Loan Repayments			
		Subtotal $	
		Total Per Year	$
		Total Outgoings	$
		Total Income	$
		Surplus / Deficit	$

Other Information

Are there any anticipated changes to any of your assets over the next year? ☐ Yes ☐ No

If yes, please provide detail

Please note any specific topics you would like added to the agenda for our review.

Have we met your expectations with regard to our level of service, provision of information and professionalism? ☐ Yes ☐ No

If no, please provide detail

Do you have any comments that could assist us in our quest for excellence? ☐ Yes ☐ No

If yes, please provide detail

Future Reviews

How often would you like to meet to review your circumstances? (circle) **Half Yearly** **Yearly**
(you will be sent a review reminder letter to call to make an appointment)

Client Declaration

The information provided in this Pre-Review Questionnaire accurately documents our relevant personal circumstances. We are not aware of any other material information relevant to the provision of financial product or strategy advice and understand that this information is the basis on which our financial adviser and their associated Australian Financial Services Licensee (AFS Licensee) will provide advice.	☐ Agree	☐ Disagree
Unless we have specifically requested otherwise, we confirm and consent to the collection, use and disclosure of our personal information in accordance with the procedures outlined in our financial advisers' AFS Licensees Privacy Policy (which is available to us on request or by logging on to their website). We acknowledge and accept the Privacy Statement on Page 2 of this Pre-Review Questionnaire.	☐ Agree	☐ Disagree
We have read, acknowledge and accept the Tax File Number Declaration on Page 2 of this Pre-Review Questionnaire.	☐ Agree	☐ Disagree

Client's name	*Client's signature*	*Date*
Partner's name	*Partner's signature*	*Date*
David Harrison		
Authorised Representative's name	*Authorised Representative's signature*	*Date*

Scope of the Advice (to be completed by the Adviser)

Has a full Statement of Advice been requested	Yes / No
Has the client asked for advice on only specific interests?	
Did the client refuse to supply any personal information, or supplied only limited personal information?	Yes / No
If YES, the following warning must be provided to the client, and confirmed in the written recommendation.	
"As you have declined to provide full personal information, I have not been able to fully assess your financial needs, circumstances and objectives in making my recommendation. As a result, the recommendation may not be appropriate to your particular financial situation. Therefore, you should carefully assess how appropriate the recommendation is in light of your financial situation."	

Estate Planning	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- Wills
- POA
- POG

Personal Insurance	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- Life Insurance
- TPD
- Trauma
- Income/Salary Continuance

Superannuation	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- General
- Salary packaging
- Investment Options
- Projections
- Additional Contributions
- Consolidation
- TTR
- Non-lapsing death benefit

Income	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- Tax
- Salary Packaging

Expenses	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- General
- Reducing Tax
- Child Support

Investments	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- Geared Savings Plan
- Regular Savings Plan
- Lump Sum Investment
- Margin Lending
- Different asset classes

Existing Balance Sheet Assets	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- Property/s
- Shares
- Funds
- Other

Liabilities	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- Home Loan
- Credit Cards
- Personal Loan
- Investment Loan/s
- Reduction strategies
- Consolidation

Retirement	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- Centrelink
- Allocated Pension

Communications	**Discussed**	**Y/N**	**Advice Required**	**Y/N**

- AMO
- Newsletters
- Info Junkies